

FORM PTO-1390  
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. #3  
100564-00045

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
• CONCERNING A FILING UNDER 35 U.S.C. 371

DATE: April 3, 2001

U.S. APPLN. NO.  
(IF KNOWN, SEE 37 C.F.R. 1.5)  
09/743,800

INTERNATIONAL APPLICATION NO.  
PCT/EP99/05145

INTERNATIONAL FILING DATE  
20 July 1999

PRIORITY DATE CLAIMED  
20 July 1998

TITLE OF INVENTION: NOVEL UROKINASE INHIBITORS

APPLICANT(S) FOR DO/EO/US: Olaf WILHELM, Viktor MAGDOLEN, Jörg STÜRZEBECHER, John FOEKENS, Verena LUTZ

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.  
(THE BASIC FILING FEE IS ATTACHED)
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper demand for International Preliminary Amendment was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English [35 U.S.C. 371(c)(2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].
9. ☒ An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)].
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].

Items 11 - 16 below concern other document(s) or information included:

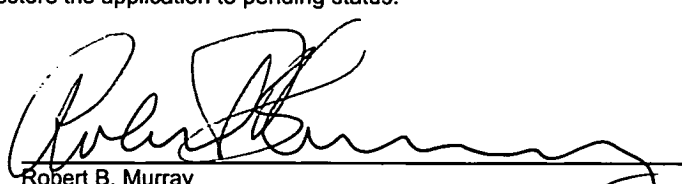
11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Notification of Missing Requirements

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|  |  |   |  |   |  |                  |  |
|--|--|---|--|---|--|------------------|--|
| U.S. APPLICATION (IF KNOWN)<br>SEE 37 C.F.R. 1.50) 09/743,800  |  | INTERNATIONAL APPLICATION<br>NO. PCT/EP99/05145 |  | ATTORNEY DOCKET NO. 100564-00045  |  |                  |  |
|  |  |   |  | DATE: April 3, 2001   |  |                  |  |
| 17. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>Basic National Fee [37 C.F.R. 1.492(a)(1)-(5)]:</b><br>Search Report has been prepared by the EPO or JPO.....\$860.00<br>International preliminary examination fee paid to USPTO<br>(37 C.F.R. 1.482).....\$690.00<br>No international preliminary examination fee paid to USPTO<br>(37 C.F.R. 1.482) but international search fee paid to USPTO<br>[37 C.F.R. 1.445(a)(2)].....\$710.00<br>Neither international preliminary examination fee<br>(37 C.F.R. 1.482) or international search fee<br>[37 C.F.R. 1.445(a)(2)] paid to USPTO.....\$1,000.00<br>International preliminary examination fee paid to USPTO<br>(37 C.F.R. 1.482) and all claims satisfied provisions of<br>PCT Article 33(2)-(4).....\$ 100.00 |  |   |  | CALCULATIONS  |  | PTO USE ONLY     |  |
|  |  |   |  |   |  |                  |  |
| ENTER APPROPRIATE BASIC FEE AMOUNT =   |  |   |  | \$ 00   |  |                  |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later<br>than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date<br>[37 C.F.R. 1.492(e)].   |  |   |  | \$ 130  |  |                  |  |
| Claims   |  | Number Filed                                    |  | Number Extra  |  | Rate             |  |
| Total Claims   |  | 18 - 20 =                                       |  | 00  |  | X \$ 18.00 \$ 00 |  |
| Independent Claims   |  | 03 - 3 =  |  | 00  |  | X \$ 80.00 \$ 00 |  |
| Multiple dependent claim(s) (if applicable)  |  |   |  | + \$270.00  |  | \$ 00            |  |
| TOTAL OF ABOVE CALCULATIONS =  |  |   |  | \$ 130  |  |                  |  |
| Reduction by one-half for filing by small entity, if applicable.<br>Applicant is entitled to Small Entity status.<br>(Note 37 C.F.R. 1.9, 1.27, 1.28).   |  |   |  | \$ 65   |  |                  |  |
| SUBTOTAL =   |  |   |  | \$ 65   |  |                  |  |
| Processing fee of \$130.00 for furnishing the English translation<br>later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date<br>[37 C.F.R. 1.492(f)].   |  |   |  | \$ 00   |  |                  |  |
| TOTAL NATIONAL FEE =   |  |   |  | \$ 65   |  |                  |  |
| Fee for recording the enclosed assignment [37 C.F.R. 1.21(h)]. The assignment<br>must be accompanied by an appropriate cover sheet<br>(37 C.F.R. 3.28, 3.31). \$40.00 per property   |  |   |  | \$ 40   |  |                  |  |
| TOTAL FEES ENCLOSED =  |  |   |  | \$ 105  |  |                  |  |
| /2001 MNGUYEN 00000092 09743800  |  |   |  | Amount to be refunded   |  | \$               |  |
|  |  |   |  | Charged   |  | \$               |  |
| 65.00 00   |  |   |  |   |  |                  |  |
| a. <input checked="" type="checkbox"/> A check in the amount of \$105 to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. 01-2300 in the amount of \$ to cover the above fee.<br>A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to<br>Deposit Account No. 01-2300.  |  |   |  |   |  |                  |  |
| NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive<br>[37 C.F.R. 1.137(a) or (b)] must be filed and granted to restore the application to pending status.   |  |   |  |   |  |                  |  |
| SEND ALL CORRESPONDENCE TO:<br>Arent Fox Kintner Plotkin & Kahn<br>1050 Connecticut Avenue, N.W.<br>Suite 600<br>Washington, D.C. 20036-5339<br>Tel: (202) 857-6000 Fax: (202) 638-4810<br>RBM/cb  |  |   |  |   |  |                  |  |
|  |  |   |  | <br>Robert B. Murray<br>Reg. No. 22,980 |  |                  |  |